

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
PES-0042

In Re Application Of: **A. JOHN SPERANZA et al.**

Serial No.
09/909,845

Filing Date
July 20, 2001

Examiner

Group Art Unit

Invention: **ELECTROCHEMICAL CELL SYSTEM OUTPUT CONTROL METHOD AND APPARATUS**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
September 4, 2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ 1 verified small entity declaration(s)
 - ☒ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☒ Other (list):

Transmittal of Formal Drawings
Formal Drawings (6 sheets)
Postcard Receipt

Docket No.
PES-0042

Serial No.
09/909,845

Examiner

Group Art Unit

OCT 15 2001
 PATENT & TRADEMARK OFFICE
 TC

Attention: Manager, Application Branch

☒ Utility application filing fee

\$370.00

☐ Design application filing fee

☒ Total number of independent claims = 7

\$168.00

☒ Total number of claims = **42**

\$198.00

- ☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath

\$65.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees \$801.00

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees

Total fees due \$801.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
PES-0042

In Re Application Of: **A. JOHN SPERANZA et al.**

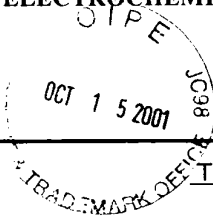
Serial No.
09/909,845

Filing Date
July 20, 2001

Examiner

Group Art Unit

Invention: **ELECTROCHEMICAL CELL SYSTEM OUTPUT CONTROL METHOD AND APPARATUS**




TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$801.00** is to be paid as follows:

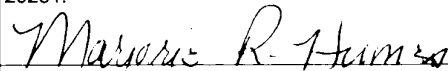
- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **06-1130**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **06-1130**
A duplicate copy of this sheet is enclosed.


Signature

Dated: **October 10, 2001**

Leah M. Reimer
Registration No. 39,341
Customer No. 23413

I certify that this document and fee is being deposited on **October 10, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Signature of Person Mailing Correspondence

Marjorie R. Humes

Typed or Printed Name of Person Mailing Correspondence

cc:

TRANSMITTAL OF FORMAL DRAWINGSDocket No.
PES-0042In Re Application Of: **A. JOHN SPERANZA et al.**

OCT 15 2001

Serial No.

09/909,845

Filing Date

July 20, 2001

Batch No.

Examiner

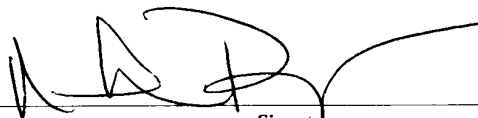
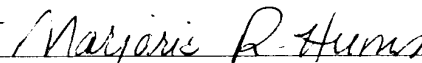
Art Unit

Invention: **ELECTROCHEMICAL CELL SYSTEM OUTPUT CONTROL METHOD AND APPARATUS**

Address to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

Transmitted herewith are:

Six (6) sheets of formal drawing(s) for this application.Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c)
on the reverse side of the drawing.*Signature***Leah M. Reimer
Registration No. 39,341
Customer No. 23413**Dated: **October 10, 2001**I certify that this document and attached formal drawings
are being deposited on **October 10, 2001** with the
U.S. Postal Service as first class mail under 37 C.F.R. 1.8
and addressed to the Assistant Commissioner for Patents,
Washington, D.C. 20231.*Signature of Person Mailing Correspondence***Marjorie R. Humes***Typed or Printed Name of Person Mailing Correspondence*



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,845	07/20/2001	A. John Speranza	PES-0042

CONFIRMATION NO. 8798

FORMALITIES LETTER



OC000000006511565

CANTOR COLBURN LLP
55 Griffin Road South
Bloomfield, CT 06002

Date Mailed: 09/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$358.
 - \$198 for 22 total claims over 20.
 - \$160 for 4 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 778.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

10/18/2001 BNGUYEN1 00000002 09909845

01 FC:201	370.00 OP
02 FC:203	198.00 OP
03 FC:205	65.00 OP
04 FC:202	168.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Chen

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09100905 101501